Substitute Form PTO-1449 (Modified)

(37 CFR §1.98(b))

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 13086-002001

Application RECEIVED

Information Disclosure Statement
by Applicant
(Use several sheets if necessary)

Applicant George Q. Daley et al.

MAR 0 5 2000

Filing Date

October 18, 2001

Group NEOH CENTER 1600/2900

			U.S. Pate	ent Documents			
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
al.	AA	5,605,793	02/25/1997	Stemmer		7	
96	AB	5,830,721	11/03/1998	Stemmer et al.			ı
D.J.	AC	5,888,732	03/30/1999	Hartley et al.			
A	AD	6,025,192	02/15/2000	Beach et al.		X	
#	AE	6,132,970	10/17/2000	Stemmer			
9	AF	6,153,380	11/28/2000	Nolan et al.			
	AG						

	Foreig	n Patent Doc	uments or P	ublished Foreign	Patent A	Application	ns
Examiner	Desig.	Document	Publication	Country or			Translation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes No
Do	AH/	WO 98/12339		PCT			
	AI						

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
FE	AJ /	Ariyoshi et al., Constitutive Activation of STAT5 by a Point Mutation in the SH2 Domain, J. Bio. Chem. 275:24407-24413 (2000)
DL	AK 🖊	Arkin et al., An algorithm for protein engineering: Simulatiosn fo Recursive ensemble mutagenesis, Proc. Natl. Acad. Sci. USA, 89:7811-7815 (1992)
DL	AL 🗸	Bender et al., Evidence that the Packaging Signal of Moloney Murine Leukemia Virus Extends into the gag Region, J. Virol. 61:1639-1646 (1987)
2	AM/	Berns et al., A genetic screen to identify genes that rescue the slow growth phenotype of c-myc null fibroblasts, Oncogene 19:3330-3334 (2000)
DJ	AN /	Bolivar et al., List of transgenic and knockout mice: behavioral profiles, Mammalian Genome 11:260-274 (2000)
DJ	AO 🗸	Bryan et al., Evidence for an alternative mechanism for maintaining telomere length in human tumors and tumor-derived cell lines, Nat. Med. 3:1271-1274 (1997)
DJ	AP	Carnero et al., Loss-of-function genetics in mammalian cells: the p53 tumor suppressor model, Nucl. Acid Res. 28:2234-2241 (2000)
PC	AQ /	Cho et al., Constructing High Complexity Synthetic Libraries of Long ORFs Using In Vitro Selection, J. Mol. Biol. 297:309-319 (2000)
DJ	AR /	Chong et al., Replication-competent retrovirus produced by a 'split-function' third generation amphotropic packaging cell line, Gene Ther. 3:624-629 (1996)
DJ	AS /	Colas et al., Genetic selection of peptide aptamers that recognize and inhibit cyclin-dependent kinase, 2 Nature 380:548-550 (1996)

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if no	9/17/03
EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with
next communication to applicant.	•••

FEB 2 8 2007 2

Sheet 2 of 4

Substitute Form PTO-1889 (Modified)

Department of Commerce
TRADENAR Patent and Trademark Office

Attorney's Docket No. 13086-002001

Application No. 09/982,223

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Applicant

George Q. Daley et al.

TECH OF S

(37 CFR §1.98(b))

Filing Date October 18, 2001

Group Art Unit CENTER 1600

Paley and Baltimore, Transformation of an interleukin 3-dependent hematopoietic cell line by the chronic myelogenous leukemia-specific P210 berbol protein, Proc. Natl. Acad. Sci. USA 85:9312-8316 (1988) AW Daley et al., Induction of Chronic Myelogenous Leukemeia in Mice by the P210 berbol Gene of the Philadelphia Chromosome, Science 247:824-830 (1990) AX Danos et al., Safe and efficient generation of recombinant retroviruses with amphotropic and geotropic host ranges. Proc. Natl. Acad. Sci. USA 85:6460-6464 (1988) AY Delgrave et al., Recursive ensemble mutagenesis, Protein Engineering 6:327-331 (1993) Downing et al., The AMLI-ETO chimaeric transcription factoring acute myeloid leukaemia: Biology and clinical significance, British lournal Haemotology 106:296-308 (1999) AAA Drocourt et al., Cassettes of the Streptoalloteichus hindustams big gene for transformation of lower and displer eukaryotes to phieomycin resistance, Nucleic Acid Res. 18:4009 (1990) Firimpong et al., The AMLI-ETO chimaeric transcription factoring acute myeloid leukaemia: Biology and clinical significance, British lournal Haemotology 106:296-308 (1999) ABB Firimpong et al., Cotransduction of nondividing cells using lentiviral vectors, Gene Ther. 7:1562-1569 (2000) ACC Gatignol et al., Cotransduction of nondividing cells using lentiviral vectors, Gene Ther. 7:1562-1569 (2000) ACC Guidou et al., Cloning mammalian genes by expression selection of genetic suppressor elements: Association of kinesin with drug resistance and cell immortalization, Genetics, 91:3744-3746 (1994) AEE Guild et al., Development of Retrovirus Vectors Useful for Expressing Genes in Cultured Murine fimbryonal Cells and Hematopoietic Cells In Vivo, 1. Virol. 62:3795-3801 (1988) AFF Hahn et al., Creation of human tumour cells with defined genetic elements, Nature 400:464-468 (1999) AHH Hoess et al., The role of the loxP spacer region in P1 site-specific recombination, Nucleic Acid Res. 14:2287-2300 (1986) AHH House, al., Aproinflammatory Cytokine Inhibits p53 Tumo		Other D	Ocuments (include Author Title D. (
Initial ID Cossett et al., High-Titer Packaging Cells Producing Recombinant Retroviruses Resistant to Muman Serum, J. Virol 69:7430-7436 (1995) Alu Dahiyat and Mayo, De Novo Protein Design: Fully Automated Sequence Selection, Science 278:82-87 (1997) Paley and Baltimore, Transformation of an interleukin 3-dependent hematopoietic cell line by the Orlonic myelogenous leukemia-specific P210 heroish protein, Proc. Natl. Acad. Sci. USA 85:9312-813 (1988) AW Daley et al., Induction of Chronic Myelogenous Leukemeia in Mice by the P210 heroish Gene of the Philadelphia Chromosome, Science 278:824-830 (1990) AX Danos et al., Seje and efficient generation of recombinant retroviruses with amphotropic and geotropic host ranges, Proc. Natl. Acad. Sci. USA 85:6460-6464 (1988) AY Delgrave et al., Recursive ensemble mutagenesis, Protein Engineering 6:327-331 (1993) AZ Downing et al., The AML1-ETO chimaeric transcription factoring acute myeloid leukaemia: Biology and clinical significance, British Journal Haemotology 106:296-380 (1990) AAAA Procourt et al., Cassettes of the Streptoalloteichus hindustanus ble gene for transformation of lower and dhigher eukaryotes to philomycin resistance, Nucleic Acid Res. 18:4009 (1990) ACC Gatignol et al., Corransduction of nondividing cells using lentiviral version. Gene Ther. 7:1562-1569 (2000) ACC Gatignol et al., Bleomycin resistance conferred by a drug-binding protein, FEBS Letter 230:171-175 (1988) Guild et al., Development of Retrovirus Vectors Useful for Expressing Genes in Cultured Murine Embryonal Cells and Hematopoietic Cells in Vivo, 1. Virol. 62:3795-3801 (1988) AGG Hannon et al., MaRX: An Approach to Genetics in Mammalian Cells, Science, 283:1120-1130 AGG Hannon et al., The role of the loxP spacer region in P1 site-specific recombination, Nucleic Acid Res. 14:2287-2300 (1986) AII Julian et al., The one ogene and Polycomb-group gene bmi-1 regulates cell proliferation and sense center only the link4 docus, Nature 397:164-168 (2000) AKK (Beller et al., Expression of a	Examiner	Desig	ocuments (include Author, Title, Date, and Place of Publication)
AT Cossett et al., High-Titer Packaging Cells Producing Recombinant Retroviruses Resistant to Human Serum, J. Virol 69:7430-7436 (1995) Alu Dahiyat and Mayo, De Novo Protein Design: Fully Automated Sequence Selection, Science 278:82-87 (1997) Baley and Baltimore, Transformation of an interleukin 3-dependent hematopoietic cell line by the cronic myelogenous leukemia-specific P210 bereibl protein, Proc. Natl. Acad. Sci. USA 85:9312-9316 (1988) AW Daley et al., Induction of Chronic Myelogenous Leukemia in Mice by the P210 bereibl Gene of the Philadelphia Chromosome, Science 247:824-830 (1990) AXU Danos et al., Safe and efficient generation of recombinant retroviruses with amphotropic and geotropic host ranges, Proc. Natl. Acad. Sci. USA 85:6460-6464 (1988) AY Delgrave et al., Recursive ensemble mutagenesis, Protein Engineering 6:327-331 (1993) AZ Downing et al., The AML1-ETO chimaeric transcription factoring acute myeloid leukaemia: Biology and clinical significance, British Journal Haemotology 106:296-308 (1999) AAA Droccourt et al., Cassettes of the Streptoalloteichus hindustanus ble gene for transformation of lower an dhigher eukaryotes to phleomycin resistance, Nucleic Acid Res. 18:4009 (1990) Frimpong et al., Cotransduction of nondividing cells using lentiviral vectors, Gene Ther. 7:1562-1569 (2000) Gudkov et al., Cloning mammalian genes by expression selection of genetic suppressor elements: Association of kinesin with drug resistance and cell immortalization, Genetics, 91:3744-3746 (1994) Guild et al., Development of Retrovirus Vectors Useful for Expressing Genes in Cultured Murine Embryonal Cells and Hematopopietic Cells in Nivo. J. Virol. 62:3795-3801 (1988) Hahn et al., Creation of human tumour cells with defined genetic elements, Nature 400:464-468 (1999) AGG Hannon et al., MaRX: An Approach to Genetics in Mammalian Cells, Science, 283:1120-1130 (1999) Jacobs et al., The oncogene and Polycomb-group gene bmi-1 regulates cell proliferation and senseence through the ink4a locus, Nature 397:164		Desig.	
Dahiyat and Mayo, De Novo Protein Design: Fully Automated Sequence Selection, Science 278:82-87 (1997) Paley and Baltimore, Transformation of an interleukin 3-dependent hematopoietic cell line by the chronic myelogenous leukemia-specific P210 beriehl protein, Proc. Natl. Acad. Sci. USA 85:9312-813 (1988) AW Daley et al., Induction of Chronic Myelogenous Leukemeia in Mice by the P210 beriehl Gene of the Philadelphia Chromosome, Science 247:824-830 (1990) AX Danos et al., Safe and efficient generation of recombinant retroviruses with amphotropic and gcotropic host ranges, Proc. Natl. Acad. Sci. USA 85:6460-6464 (1988) AY Delgrave et al., Recursive ensemble mutagenesis, Protein Engineering 6:327-331 (1993) Downing et al., The AML1-ETO chimaeric transcription factoring acute myeloid leukaemia: Biology and clinical significance. British Journal Haemotology 106:296-308 (1999) AAA Drocourt et al., Cassettes of the Streptoalloteichus hindustamus ble gene for transformation of lower and higher eukaryotes to phleomycin resistance, Nucleic Acid Res. 18:4009 (1990) Firmpong et al., Cotransduction of nondividing cells using lentiviral vectors, Gene Ther. 7:1562-1569 (2000) ACC Gatignol et al., Bleomycin resistance conferred by a drug-binding protein, FEBS Letter 230:171-175 (1988) ADD Gudkov et al., Cloning mammalian genes by expression selection of genetic suppressor elements: Association of kinesin with drug resistance and cell immortalization, Genetics, 91:3744-3746 (1994) AEE Guild et al., Development of Retrovirus Vectors Useful for Expressing Genes in Cultured Murine finity produced and the properties of Retrovirus Vectors Useful for Expressing Genes in Cultured Murine finity produced and Hematopoietic Cells In Vivo, J. Virol. 62:3795-3801 (1988) AFF Hahn et al., Creation of human tumour cells with defined genetic elements, Nature 400:464-468 (1999) AHH Hoess et al., The role of the loxP spacer region in P1 site-specific recombination, Nucleic Acid Res. 14:2287-2300 (1986) AII Houson, et al., A Proinflamm	24		Cossett et al., High-Titer Packaging Cells Producing Recombinant Patronium Particulum Patronium
AV Paley and Baltimore, Transformation of an interleukin 3-dependent hematopoietic cell line by the chronic myelogenous leukemia-specific P210 horbin Protein, Proc. Natl. Acad. Sci. USA 85:9312-8316 (1988) AW Daley et al., Induction of Chronic Myelogenous Leukemeia in Mice by the P210 hemos of the Philadelphia Chromosome, Science 247:824-830 (1990) AXV Danos et al., Safe and efficient generation of recombinant retroviruses with amphotropic and ecotropic host ranges, Proc. Natl. Acad. Sci. USA 85:6460-6464 (1988) AY Delgrave et al., Recursive ensemble mutagenesis, Protein Engineering 6:327-331 (1993) Downing et al., The AML1-ETO chimaeric transcription factoring acute myeloid leukaemia: Biology and clinical significance, British Journal Haemotology 106:296-308 (1999) Drocourt et al., Cassettes of the Streptoalloteichus hindustamus ble gene for transformation of lower an adhigher eukaryotes to phleomycin resistance, Nucleic Acid Res. 18:4009 (1990) Frimpong et al., Cotransduction of nondividing cells using lentiviral vectors, Gene Ther. 7:1562-1569 (2000) Gatignol et al., Bleomycin resistance conferred by a drug-binding protein, FEBS Letter 230:171-175 (1988) Gudkov et al., Cloning mammalian genes by expression selection of genetic suppressor elements: Association of kinesin with drug resistance and cell immortalization, Genetics, 91:3744-3746 (1994) AEE Guild et al., Development of Retrovirus Vectors Useful for Expressing Genes in Cultured Murine fimbryonal Cells and Hematopoietic Cells in Vivo, J. Virol. 62:3795-3801 (1988) AGG Hannon et al., Creation of human tumour cells with defined genetic elements, Nature 400:464-468 (1999) Hannon et al., Approinflammatory Cytokine Inhibits p53 Tumor Suppressor Activity, J. Exp. Med, 190:1375-1382 (1999) Hoess et al., The role of the loxP spacer region in P1 site-specific recombination, Nucleic Acid Res. 14:2287-2300 (1986) AKK Keller et al., Expression of a foreign gene in myeloid and lymphoid cells derived from multipotent haematopoietic precursors, Nature 3	D	AU	Dahiyat and Mayo, De Novo Protein Design: Fully Automated Sequence Solection Science 278.92
Danos et al., Safe and efficient generation of recombinant retroviruses with amphotropic and ecotropic host ranges, Proc. Natl. Acad. Sci. USA 83:6460-6464 (1988) AY Delgrave et al., Recursive ensemble mutagenesis, Protein Engineering 6:327-331 (1993) Downing et al., The AML1-ETO chimaeric transcription factoring acute myeloid leukaemia: Biology and clinical significance, British Journal Haemotology 106:296-308 (1999) AAA Drocourt et al., Cassettes of the Streptoalloteichus hindustanus ble gene for transformation of lower an dhigher eukaryotes to phleomycin resistance, Nucleic Acid Res. 18:4009 (1990) Frimpong et al., Cotransduction of nondividing cells using lentiviral vectors, Gene Ther. 7:1562-1569 (2000) ACC Gatignol et al., Bleomycin resistance conferred by a drug-binding protein, FEBS Letter 230:171-175 (1988) ADD Gudkov et al., Cloning mammalian genes by expression selection of genetic suppressor elements: Association of kinesin with drug resistance and cell immortalization, Genetics, 91:3744-3746 (1994) AEE Guild et al., Development of Retrovirus Vectors Useful for Expressing Genes in Cultured Murine fimbryonal Cells and Hematopoietic Cells In Vivo, J. Virol. 62:3795-3801 (1988) AFF Hahn et al., Creation of human tumour cells with defined genetic elements, Nature 400:464-468 (1999) AGG Hannon et al., MaRX: An Approach to Genetics in Mammalian Cells, Science, 283:1120-1130 (1999) AHH Hoess et al., The role of the loxP spacer region in P1 site-specific recombination, Nucleic Acid Res. 14:2287-2300 (1986) AII Jacobs et al., The role of the loxP spacer region in P1 site-specific recombination, Nucleic Acid Res. 14:2287-2300 (1986) AII Jacobs et al., The oncogene and Polycomb-group gene bmi-1 regulates cell proliferation and senescence through the ink4a locus, Nature 397:164-168 (2000) AKK, Keller et al., Expression of a foreign gene in myeloid and lymphoid cells derived from multipotent haematopoietic precursors, Nature 318:149-154 (1985) AMD Keller et al., Expression of Aporeign gene in myelo	Df	AV V	Daley and Baltimore, Transformation of an interleukin 3-dependent hematopoietic cell line by the chronic myelogenous leukemia-specific P210 ber/abl protein, Proc. Natl. Acad. Sci. USA 85:9312-9316 (1988)
Danos et al., Safe and efficient generation of recombinant retroviruses with amphotropic and ecotropic host ranges, Proc. Natl. Acad. Sci. USA 85:6460-6464 (1988) AY Delgrave et al., Recursive ensemble mutagenesis, Protein Engineering 6:327-331 (1993) Downing et al., The AML1-ETO chimaeric transcription factoring acute myeloid leukaemia: Biology and clinical significance, British Journal Haemotology 106:296-308 (1999) Drocourt et al., Cassettes of the Streptoalloteichus hindustamus ble gene for transformation of lower an dhigher eukaryotes to phleomycin resistance, Nucleic Acid Res. 18:4009 (1990) ABB Frimpong et al., Cotransduction of nondividing cells using lentiviral vectors, Gene Ther. 7:1562-1569 (2000) ACC Gatignol et al., Bleomycin resistance conferred by a drug-binding protein, FEBS Letter 230:171-175 (1988) ADD Gudkov et al., Cloning mammalian genes by expression selection of genetic suppressor elements: Association of kinesin with drug resistance and cell immortalization, Genetics, 91:3744-3746 (1994) AEE Guild et al., Development of Retrovirus Vectors Useful for Expressing Genes in Cultured Murine Embryonal Cells and Hematopoietic Cells In Vivo, 1. Vivol. 62:3795-3801 (1988) Hahn et al., Creation of human tumour cells with defined genetic elements, Nature 400:464-468 (1999) AGG Hannon et al., MaRX: An Approach to Genetics in Mammalian Cells, Science, 283:1120-1130 Hoess et al., The role of the loxP spacer region in P1 site-specific recombination, Nucleic Acid Res. 14:2287-2300 (1986) AII Hoess et al., The role of the loxP spacer region in P1 site-specific recombination, Nucleic Acid Res. 14:2287-2300 (1986) AII Jacobs et al., A Proinflammatory Cytokine Inhibits p53 Tumor Suppressor Activity, J. Exp. Med, 190:1375-1382 (1999) AII Jacobs et al., The oncogene and Polycomb-group gene bmi-I regulates cell proliferation and senescence through the ink4a locus, Nature 397:164-168 (2000) Keller et al., Expression of a foreign gene in myeloid and lymphoid cells derived from multipotent haematopoi	Df	AW/	1 madelphia Chromosome, Science 247:824-830 (1990)
Delgrave et al., Recursive ensemble mutagenesis, Protein Engineering 6:327-331 (1993) Downing et al., The AML1-ETO chimaeric transcription factoring acute myeloid leukaemia: Biology and clinical significance, British Journal Haemotology 106:296-308 (1999) AAA AAA ABB Drocourt et al., Cassettes of the Streptoalloteichus hindustanus ble gene for transformation of lower an dhigher eukaryotes to phleomycin resistance, Nucleic Acid Res. 18:4009 (1990) Frimpong et al., Cotransduction of nondividing cells using lentiviral vectors, Gene Ther. 7:1562-1569 (2000) Gatignol et al., Bleomycin resistance conferred by a drug-binding protein, FEBS Letter 230:171-175 (1988) ADD Guikov et al., Cloning mammalian genes by expression selection of genetic suppressor elements: Association of kinesin with drug resistance and cell immortalization, Genetics, 91:3744-3746 (1994) AEE Guild et al., Development of Retrovirus Vectors Useful for Expressing Genes in Cultured Murine Importance Cells and Hematopoietic Cells In Vivo, J. Vivo, 62:3795-3801 (1988) Hahn et al., Creation of human tumour cells with defined genetic elements, Nature 400:464-468 (1999) AGG Hannon et al., MaRX: An Approach to Genetics in Mammalian Cells, Science, 283:1120-1130 (1999) AHH Hoess et al., The role of the loxP spacer region in P1 site-specific recombination, Nucleic Acid Res. 14:2287-2300 (1986) Judson, et al., A Proinflammatory Cytokine Inhibits p53 Tumor Suppressor Activity, J. Exp. Med, 190:1375-1382 (1999) AKK Keller et al., Expression of a foreign gene in myeloid and lymphoid cells derived from multipotent haematopoietic precursors, Nature 318:149-154 (1985) ALM ALM ALM ALM ALM ALM ALM AL	Dd	AX.	Danos et al., Safe and efficient generation of recombinant retroveryees with amphatronic and
Dovoning et al., The AML1-ETO chimaeric transcription factoring acute myeloid leukaemia: Biology and clinical significance, British Journal Haemotology 106:296-308 (1999) Drocourt et al., Cassettes of the Streptoalloteichus hindustamus ble gene for transformation of lower an dhigher eukaryotes to phleomycin resistance, Nucleic Acid Res. 18:4009 (1990) ABB Frimpong et al., Cotransduction of nondividing cells using lentiviral vectors, Gene Ther. 7:1562-1569 (2000) Gatignol et al., Bleomycin resistance conferred by a drug-binding protein, FEBS Letter 230:171-175 (1988) ADD Gudkov et al., Cloning mammalian genes by expression selection of genetic suppressor elements: Association of kinesin with drug resistance and cell immortalization, Genetics, 91:3744-3746 (1994) AEE Guild et al., Development of Retrovirus Vectors Useful for Expressing Genes in Cultured Murine Embryonal Cells and Hematopoietic Cells In Vivo, J. Virol. 62:3795-3801 (1988) AFF Hahn et al., Creation of human tumour cells with defined genetic elements, Nature 400:464-468 (1999) AGG Hannon et al., MaRX: An Approach to Genetics in Mammalian Cells, Science, 283:1120-1130 (1999) AHH Hoess et al., The role of the loxP spacer region in P1 site-specific recombination, Nucleic Acid Res. 14:2287-2300 (1986) Hudson, et al., A Proinflammatory Cytokine Inhibits p53 Tumor Suppressor Activity, J. Exp. Med, 190:1375-1382 (1999) AII Jacobs et al., The oncogene and Polycomb-group gene bmi-1 regulates cell proliferation and genescence through the ink4a locus, Nature 397:164-168 (2000) AKK Keller et al., Expression of a foreign gene in myeloid and lymphoid cells derived from multipotent haematopoietic precursors, Nature 318:149-154 (1985) AMM Kissil et al., Isolation of DAP3, a Novel Mediator of Interferon-y-induced Cell Death, J. of Biol. Chem. 270:27932-27936 (1995)	21	AY	Delgrave et al., Recursive ensemble mutagenesis, Protein Engineering 6:327-331 (1993)
AAA Procourt et al., Cassettes of the Streptoalloteichus hindustanus ble gene for transformation of lower an dhigher eukaryotes to phleomycin resistance. Nucleic Acid Res. 18:4009 (1990) ABB Frimpong et al., Cotransduction of nondividing cells using lentiviral vectors, Gene Ther. 7:1562-1569 (2000) ACC Gatignol et al., Bleomycin resistance conferred by a drug-binding protein, FEBS Letter 230:171-175 (1988) ADD Gudkov et al., Cloning mammalian genes by expression selection of genetic suppressor elements: Association of kinesin with drug resistance and cell immortalization, Genetics, 91:3744-3746 (1994) AEE Guild et al., Development of Retrovirus Vectors Useful for Expressing Genes in Cultured Murine Embryonal Cells and Hematopoietic Cells In Vivo, J. Virol. 62:3795-3801 (1988) AFF Hahn et al., Creation of human tumour cells with defined genetic elements, Nature 400:464-468 (1999) AGG Hannon et al., MaRX: An Approach to Genetics in Mammalian Cells, Science, 283:1120-1130 (1999) AHH Hoess et al., The role of the loxP spacer region in P1 site-specific recombination, Nucleic Acid Res. AII Hudson, et al., A Proinflammatory Cytokine Inhibits p53 Tumor Suppressor Activity, J. Exp. Med, 190:1375-1382 (1999) AJJ Jacobs et al., The oncogene and Polycomb-group gene bmi-I regulates cell proliferation and sensecence through the ink4a locus, Nature 397:164-168 (2000) AKK Keller et al., Expression of a foreign gene in myeloid and lymphoid cells derived from multipotent haematopoietic precursors, Nature 318:149-154 (1985) Kenter et al., Expression of DAP3, a Novel Mediator of Interferon-y-induced Cell Death, J. of Biol. Chem. 270:27932-27936 (1995)	24	AZ	Downing et al., The AML1-ETO chimaeric transcription factoring acute myeloid leukaemia: Biology and clinical significance, British Journal Haemotology 106-296-308 (1900)
ABB Thillipping et al., Cotransduction of nondividing cells using lentiviral vectors, Gene Ther. 7:1562-1569 (2000) ACC Gatignol et al., Bleomycin resistance conferred by a drug-binding protein, FEBS Letter 230:171-175 (1988) ADD Gudkov et al., Cloning mammalian genes by expression selection of genetic suppressor elements: Association of kinesin with drug resistance and cell immortalization, Genetics, 91:3744-3746 (1994) AEE Guild et al., Development of Retrovirus Vectors Useful for Expressing Genes in Cultured Murine Embryonal Cells and Hematopoietic Cells In Vivo, J. Virol. 62:3795-3801 (1988) AFF Hahn et al., Creation of human tumour cells with defined genetic elements, Nature 400:464-468 (1999) AGG Hannon et al., MaRX: An Approach to Genetics in Mammalian Cells, Science, 283:1120-1130 (1999) AHH Hoess et al., The role of the loxP spacer region in P1 site-specific recombination, Nucleic Acid Res. 14:2287-2300 (1986) AII Hoess et al., The role of the loxP spacer region in P1 site-specific recombination, Nucleic Acid Res. 19:1375-1382 (1999) AJJ Jacobs et al., The oncogene and Polycomb-group gene bmi-1 regulates cell proliferation and senescence through the ink4a locus, Nature 397:164-168 (2000) AKK Keller et al., Expression of a foreign gene in myeloid and lymphoid cells derived from multipotent haematopoietic precursors, Nature 318:149-154 (1985) AMMC Kissil et al., Isolation of DAP3, a Novel Mediator of Interferon-y-induced Cell Death, J. of Biol. Chem. 270:27932-27936 (1995)	21	AAA	an dhigher eukaryotes to phleomycin resistance. Nucleic Acid Res. 18:4000 (1000)
ADD Gudkov et al., Cloning mammalian genes by expression selection of genetic suppressor elements: Association of kinesin with drug resistance and cell immortalization, Genetics, 91:3744-3746 (1994) AEE Guild et al., Development of Retrovirus Vectors Useful for Expressing Genes in Cultured Murine Embryonal Cells and Hematopoietic Cells In Vivo, J. Virol. 62:3795-3801 (1988) AFF Hahn et al., Creation of human tumour cells with defined genetic elements, Nature 400:464-468 (1999) AGG Hannon et al., MaRX: An Approach to Genetics in Mammalian Cells, Science, 283:1120-1130 (1999) AHH Hoess et al., The role of the loxP spacer region in P1 site-specific recombination, Nucleic Acid Res. 14:2287-2300 (1986) AII Hudson, et al., A Proinflammatory Cytokine Inhibits p53 Tumor Suppressor Activity, J. Exp. Med, 190:1375-1382 (1999) AJJ Jacobs et al., The oncogene and Polycomb-group gene bmi-1 regulates cell proliferation and senescence through the ink4a locus, Nature 397:164-168 (2000) AKK Keller et al., Expression of a foreign gene in myeloid and lymphoid cells derived from multipotent haematopoietic precursors, Nature 318:149-154 (1985) AMMC Kissil et al., Isolation of DAP3, a Novel Mediator of Interferon-y-induced Cell Death, J. of Biol. Chem. 270:27932-27936 (1995)	24	ABB /	1569 (2000)
AEE Guild et al., Development of Retrovirus Vectors Useful for Expressing Genes in Cultured Murine Embryonal Cells and Hematopoietic Cells In Vivo, J. Virol. 62:3795-3801 (1988) AFF Hahn et al., Creation of human tumour cells with defined genetic elements, Nature 400:464-468 (1999) AGG Hannon et al., MaRX: An Approach to Genetics in Mammalian Cells, Science, 283:1120-1130 (1999) AHH Hoess et al., The role of the loxP spacer region in P1 site-specific recombination, Nucleic Acid Res. 14:2287-2300 (1986) AII Hoess et al., A Proinflammatory Cytokine Inhibits p53 Tumor Suppressor Activity, J. Exp. Med, 190:1375-1382 (1999) AJJ Jacobs et al., The oncogene and Polycomb-group gene bmi-1 regulates cell proliferation and genescence through the ink4a locus, Nature 397:164-168 (2000) Keller et al., Expression of a foreign gene in myeloid and lymphoid cells derived from multipotent haematopoietic precursors, Nature 318:149-154 (1985) AMMC Kissil et al., Isolation of DAP3, a Novel Mediator of Interferon-γ-induced Cell Death, J. of Biol. Chem. 270:27932-27936 (1995)	DJ	ACC/	_(1500)
AFE Gambryonal Cells and Hematopoietic Cells In Vivo, J. Virol. 62:3795-3801 (1988) AFF	DJ-		Association of kinesin with arug resistance and cell immortalization. Genetics, 01:2744, 2746 (1004)
AFF (1999) AGG Hannon et al., MaRX: An Approach to Genetics in Mammalian Cells, Science, 283:1120-1130 (1999) AHH Hoess et al., The role of the loxP spacer region in P1 site-specific recombination, Nucleic Acid Res. 14:2287-2300 (1986) AII Hudson, et al., A Proinflammatory Cytokine Inhibits p53 Tumor Suppressor Activity, J. Exp. Med, 190:1375-1382 (1999) AJJ Jacobs et al., The oncogene and Polycomb-group gene bmi-I regulates cell proliferation and senescence through the ink4a locus, Nature 397:164-168 (2000) AKK Keller et al., Expression of a foreign gene in myeloid and lymphoid cells derived from multipotent haematopoietic precursors, Nature 318:149-154 (1985) Kerr et al., Excess antisense RNA from infectious regombinant SV40 fails to inhibit expression of a foreign gene, Eur. J. of Biochem. 175/63-73 (1988) AMMC Kissil et al., Isolation of DAP3, a Novel Mediator of Interferon-y-induced Cell Death, J. of Biol.	DJ	 	Embryonal Cells and Hematopoietic Cells In Vivo. J. Virol. 62:3795-3801 (1988)
AHH Hoess et al., The role of the loxP spacer region in P1 site-specific recombination, Nucleic Acid Res. 14:2287-2300 (1986) AII Hudson, et al., A Proinflammatory Cytokine Inhibits p53 Tumor Suppressor Activity, J. Exp. Med, 190:1375-1382 (1999) AJJ Jacobs et al., The oncogene and Polycomb-group gene bmi-1 regulates cell proliferation and senescence through the ink4a locus, Nature 397:164-168 (2000) AKK Keller et al., Expression of a foreign gene in myeloid and lymphoid cells derived from multipotent haematopoietic precursors, Nature 318:149-154 (1985) Kerr et al., Excess antisense RNA from infectious regombinant SV40 fails to inhibit expression of a fransfected, interferon indubible gene, Eur. J. of Biochem. 175163-73 (1988) AMMC Kissil et al., Isolation of DAP3, a Novel Mediator of Interferon-γ-induced Cell Death, J. of Biol. Chem. 270:27932-27936 (1995)	DJ		(1999)
AII Mudson, et al., A Proinflammatory Cytokine Inhibits p53 Tumor Suppressor Activity, J. Exp. Med, 190:1375-1382 (1999) AJJ Jacobs et al., The oncogene and Polycomb-group gene bmi-1 regulates cell proliferation and senescence through the ink4a locus, Nature 397:164-168 (2000) AKK Keller et al., Expression of a foreign gene in myeloid and lymphoid cells derived from multipotent haematopoietic precursors, Nature 318:149-154 (1985) Kerr et al., Excess antisense RNA from infectious recombinant SV40 fails to inhibit expression of gransfected, interferon inductible gene, Eur. J. of Biochem. 175/63-73 (1988) AMMC Kissil et al., Isolation of DAP3, a Novel Mediator of Interferon-γ-induced Cell Death, J. of Biol.	De		(1777)
AJJ Jacobs et al., The oncogene and Polycomb-group gene bmi-1 regulates cell proliferation and senescence through the ink4a locus, Nature 397:164-168 (2000) AKK Keller et al., Expression of a foreign gene in myeloid and lymphoid cells derived from multipotent haematopoietic precursors, Nature 318:149-154 (1985) Kerr et al., Excess antisense RNA from infectious recombinant SV40 fails to inhibit expression of a fainsfected, interferon inductible gene, Eur. J. of Biochent. 175/63 73 (1988) AMMC Kissil et al., Isolation of DAP3, a Novel Mediator of Interferon-γ-induced Cell Death, J. of Biol. Chem. 270:27932-27936 (1995)	DJ		11.2207-2300 (1900)
AKK Keller et al., Expression of a foreign gene in myeloid and lymphoid cells derived from multipotent haematopoietic precursors, Nature 318:149-154 (1985) Kerret al., Excess antisense RNA from infectious regombinant SV40 fails to inhibit expression of a transfected, interferon indubitle gene, Eur. J. of Biochem. 175163-73 (1988) AMMC Kissil et al., Isolation of DAP3, a Novel Mediator of Interferon-γ-induced Cell Death, J. of Biol. Chem. 270:27932-27936 (1995)	DJ		\$\text{\tint{\text{\tin}\text{\tex{\tex
AKK Keller et al., Expression of a foreign gene in myeloid and lymphoid cells derived from multipotent haematopoietic precursors, Nature 318:149-154 (1985) Kerret al., Excess antisense RNA from infectious regombinant SV40 fails to inhibit expression of a fransfected interferon inducible gene, Eur. J. of Biochent. 175!63 73 (1988) AMM Kissil et al., Isolation of DAP3, a Novel Mediator of Interferon-γ-induced Cell Death, J. of Biol. Chem. 270:27932-27936 (1995)	DJ		genescence in ough the ink4g locus. Nature 397-164-168 (2000)
AMMC Kissil et al., Isolation of DAP3, a Novel Mediator of Interferon-γ-induced Cell Death, J. of Biol. Chem. 270:27932-27936 (1995)	DJ	AKK /	Keller et al., Expression of a foreign gene in myeloid and lymphoid cells derived from multipotent haematopoietic precursors, Nature 318:149-154 (1985)
// Chem. 270.2732-27330 (1993)	m		gansjected interferon indubible dence. Ett. I of Richard 1256872 Leady
	Dd	/	Chem. 270.2732-2730 (1993)
ANNV Kitamura et al., Efficient screening of retroviral cDNA expression libraries, Proc. Natl. Acad. Sci USA 92:9146-9150 (1995)	DJ	ANNU	Kitamura et al., Efficient screening of retroviral cDNA expression libraries, Proc. Natl. Acad. Sci USA 92:9146-9150 (1995)

Examiner Signature	Date Considered
David Tomberton	9/17/03 It in conformance and not considered. Include copy of this form with
EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include converted in
next communication to applicant.	with some and not considered. Include copy of this form with

FEB 2 8 2002

		01.67		
.,	۱	FEB 2 8 2002 &	•	Sheet 3 of 4
Substitute For (Modified)	m PTO-	Department of Commerce PRADEMAN Patent and Trademark Office	Attorney's Docket No. 13086-002001	Sheet 3 of 4 Application No. 09/982,223 FCH Group Art Unit CENTER 1600 FPublication)
ir		n Disclosure Statement by Applicant	Applicant George Q. Daley et al.	TECH MAR 0 5
(37 CFR §1.9		veral sheets if necessary)	Filing Date October 18, 2001	Group Art Unit CENTER 160
		Ocuments (include Author 3		1000
Examiner	Desig.	ocuments (include Author, 1	itte, Date, and Place of	f Publication)
Initial	ID		Document	_
€	A00/	Lakso et al., Targeted oncogene active Natl. Acad. Sci. USA 89:6232-6236 (1992)	
<u> 34</u>	APP.	Liu et al., The univector plasmid-fusio DNA without restriction enzymes, Cur	r. Biol. 8:1300-1309 (1998)	
DJ -	AQQ	(1992)	common signaling component(s)), EMBO J. 11:1351-1363
DJ_	ARR /	Maestro et al., twist is a potential once 2217, by Cold Spring Harbor Laborate	ory Press (1999)	
DJ	ASS /	Markowitz et al., Construction and Us Virology, 1627:400-406 (1988)	e of a Safe and Efficient Ampho	
DL	ATT	Martzen et al., A Biochemical Genomic Products, Science 286:1153-1155 (199	99)	
91	AUU.	McKendry et al., High-frequency muta ynresponsive to both α and γ interfero	ngenesis of human cells and chains, Proc. Natl. Acad. Sci. USA 8	racterization of a mutant 88:11455-11459 (1991)
DJ.	AVV	Miller, A.D., Retroviral Vectors, Curr.		
DJ	AWW	Miller et al., Generation of Helper-Fre Acting, Methotrexate-Resistant Dihydr	ee Amphotropic Retroviruses The cofolate Reductase Gene, Mol. C	at Transduce a Dominant- Clel Biol. 5:431-437 (1985)
01	AXX	Miller, A. Dusty, Retrovirus Packaging	g Cells, Human Gene Therapy,	vol. 1, no. 1, p. 5-14 (1990)
J J_		Montalto et al., <i>Telomerase Activation</i> Physiol. 180:46-52 (1999)	in Human Fibroblasts During E	Escape From Crisis, J. Cell
TE		Muller, Ulrike, Ten years of gene targe phenotype analysis, Mech. Dev. 82:3-	21 (1999)	_
DJ_		Mulsant, et al., <i>Phleomycin Resistance</i> Mol. Gent. 14:243-252 (1988)		
DJ.	ABBB	Maldini et al., In Vivo Gene Delivery an Vector, Science 272:263-267 (1996)	nd Stable Transduction of Nond	ividing Cells by a Lentiviral
عل ك		Naldini et al., Lentiviral Vectors, Adv.		
DJ.		O'Gorman et al., Recombinase-Mediate Mammalian Cells, Science 251:1351-1	355 (1991)	
DL		Onishi et al., Identification of an Oncog Retrovirus-Mediated Gene Transfer, B	lood 88:1399-1406 (1996)	
DJ	AFFF	Onishi et al., Applications of retrovirus (1996)	-mediated expression cloning, E	
91		Onishi et al., Identification and Charac Promotes Cell Proliferation, Mol. Cell	Biol. 18:3871-3879 (1998)	
A		Dellegrini et al., Use of a Selectable Mathe Signaling Pathway, Mol. Cell Biol.	9:4605-4612 (1989)	
A-	7	Raynor and Gonda, A Simple and Effici cDNA Cloning in a Retroviral Vector, I	Mol. Cell Biol. 14:880-887 (199	4)
200		Reddel et al., Immortalized Cells with N 62:1254-1262 (1997)		ity. A Review, Biochemistry
Examiner Signa	ature	A	Date Considered	

Examiner Signature

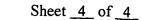
Date Considered

David fumbertain 9/17/03

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with

next communication to applicant.





		100 2 0 2002 W		Sheet <u>4</u> of <u>4</u>
Substitute Fo (Modified)	m PTO-	U.S. Department of Commerce	Attorney's Docket No. 13086-002001	Application No.
lı lı	nformat	ion Disclosure Statement	Applicant	
		by Applicant	George Q. Daley et al.	MARTUET
	(Use	several sheets if necessary)	Filing Date	Group An Ohit
(37 CFR §1.9	8(b))		October 18, 2001	-1645 16 GENT 2002
	Othor	Dogumento (includo A. II.		09/982,223 MAP 5 200 1645 (Say En 1600/29 00)
Examiner	Desig	Documents (include Author, 1	litle, Date, and Place o	f Publication)
Initial	ID	•	Desument	
	T	Reidhaar-Olson and Sauer, Combinate	Document	
2	AKK	Content of Protein Sequences, Science	e 241:53-57 (1988)	Probe of the Informational
= 1	ALLI	Rice et al., Random PCR mutagenesis	screening of secreted proteins	by direct expression in
01	T.E.	mummutun cetts, Proc. Nati. Acad. So	a. USA 89:5467-5471 (1992)	
DI	AMM	Rigg et al., A Novel Human Amphotro and Improved Safety, Virology 218:29	pic Packaging Cell Line: High 0-295 (1996)	
21	ANN		nalysis of FLP and Cre Recom	binases: mathematical Models
M	A000	7 Samarut et al., [14] Replication-Comp	etent and -Defective Retrovirus	Vectros for Oncogenic
00-		Studies, Methods Enzymol. 254:206-2	28 (1995)	
DJ	APPF	7 (1997)		
DJ	AQQ	V v v v v v v v v v v v v v v v v v v v		
01	ARRE	Stark and Gudkov, Forward genetics in Human Mol. Genetics 8:1925-1938 (19	/ 99)	
DJ	ASSS	Sun et al., <i>p53-Independent Role of MI</i> (12/18/1998)	DM2 in TGF-β1 Resistance, Sci	
DJ	ATTŢ	Conformationally Constrained Peptide	S. Journal of Molecular Recogn	nition vol 7 m 0 24(1004)
DJ	AUUU	Nature 403:623-627 (2000)	f protein-protein interactions in	Saccharomyces cerevisiae,
DL	AVVV	337 11	oping in C. elegans Using Prote	ins Involved in Vulval
	AWWW			

Evenine Circuit		
Examiner Signature	Date Considered .	
	Date Considered	/
	011-	/ • •
David Jambertson	9/17	104
EXAMINER: Initials citation considered. Draw line through citation if no next communication to applicant		
ero danative. Initials citation considered. Draw line through citation if no	t in conformance and not considered. In:	clude conv of this form with
next communication to applicant.	The state of the s	sidde coby of this follth MITU

next communication to applicant.

Substitute Disclosure Form (PTO-1449)

Fex engre 09/982,223 Sheet <u>1</u> of <u>1</u>

₩11

Substitute Form P 1449 (Modified)

S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 13086-002001

October 18, 2001

Application No.

Information Disclosure Statement

Applicant

09/982,223

by Applicant (Use several sheets if necessary)

George Q. Daley et al. Filing Date

Group Art Unit 1645 1636

(37 CFR §1.98(b))

Examiner	Desig.	Dete	U.S. Paten	t Documents			
Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Control	Filing Date
	AA			1 dichiee	Class	Subclass	If Appropriate
	AB				1		RECEIVED
	AC					\ <u></u>	
,	AD						DEC 1 1 2002
	AE				/	TEC	H CENTER 1600/2900

Examiner	Foreig Desig.	n Patent Doc	uments or F	Published Foreign	Patent .	Application	าร	
Initial	ID ID	Document Number	Publication Date	Country or Patent Office	Class		Trans	lation
DJ.	AF	WO 99/32646	07/01/1999	-WIPO PCT	Class	Subclass	Yes	No
	AG				+			
	AH				+			
	AI				-			
	AJ		<u> </u>		-			

Examiner	Desig.	ocuments (include Author, Title, Date, and Place of Publication)
Initial	Desig.	
	AK	Document
27	AK	Sakalian, M. et al., The American Society for Microbiology 68(9):5969-5981 (1994)
	AL	
	AM	
	AN	·
	AO	
	AP	

Examiner Signature	·
	Date Considered
and fambertan	0/1
EXAMINER: Initial distribution and its	9/17/03
next communication to and in considered. Draw line through citation if no	t in conformance and not considered to
EXAMINER: Initials citation considered. Draw line through citation if no next communication to applicant.	t in conformance and not considered. Include convert the